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Recent Progress in Orientifold Effective Actions

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<u>Outline</u>

- Q: Why orientifolds? (A: phenomenology)
- Overview of "older" work (-2004)
- "Recent" progress (2005-2008)
- Work in progress

<u>Orientifold</u>

Orbifold: Identify under spacetime rotation





cone

Orientifold: Identify under worldsheet reflection

 $\overbrace{\stackrel{\sigma}{\longrightarrow}}^{\uparrow \tau} z = e^{i(\sigma + i\tau)} \xrightarrow{\Omega} e^{i(-\sigma + i\tau)} = \overline{z}$ (and possibly spacetime reflection)

Orientifold

Orientifold: Identify under worldsheet reflection



<u>A few historical highlights</u>

- Sagnotti '87 (hep-th/0208020)
 - * Fermionic constructions, calculated spectra
- Dai-Leigh-Polchinski '89
 - * Coined "orientifold"
 - * emphasized spacetime point of view:

D-branes, orientifold planes

- Gimon, Polchinski '96
 - * Systematic tadpole calculations

Review: Angelantonj, Sagnotti, Phys. Rep. hep-th/0204089

A few historical highlights

• Sagnotti '87

(hep-th/0208020)

- * Fermionic constructions, calculated spectra
- Dai-Leigh-Polchinski '89

the Z_2 twist Ω is the product of a Z_2 symmetry of the dual spacetime and a Z_2 symmetry, orientation reversal, on the world-sheet. We therefore refer to the space as an "orientifold." Away from the orientifold (hyper)plane $y_i = 0$, the spectrum and interactions are locally indistinguishable from the closed oriented string; near the plane, unoriented topologies contribute.

- Gimon, Polchinski '96
 - * Systematic tadpole calculations

Review: Angelantonj, Sagnotti, Phys. Rep. hep-th/0204089

Why orientifolds?

A few reasons:

- In compact D-brane models: consistency conditions
- Supersymmetry reduced (e.g. Type IIB to Type I)
- As orbifolds: wide range (toroidal, Calabi-Yau, F, ...)

free CFT

Why orientifolds?

Ibanez, Strings '08: "state of string model building"

A few reasons:

- In compact D-bra
- Supersymmetry r
- As orbifolds: wide











still early days of string phenomenology... for example, shouldn't we construct the MSSM in a stabilized model first?

The MSSM in string theory?

one way: D-branes intersecting at angles

... Cvetic, Shiu, Uranga '00 ...



Schellekens '07

<u>minimal!</u> The MSSM in string theory?

one way: D-branes intersecting at angles



. . .

Some problems:

- Non-minimal (e.g. 24 Higgs fields)
- Couplings hard to compute
- Unstabilized closed string modes
 - branes could rearrange!



<u>minimal!</u> The MSSM in string theory?

one way: D-branes intersecting at angles



. . .

Some problems:

- Non-minimal (e.g. 24 Higgs fields)
- Couplings hard to compute
- Not stabilized closed string modes
 branes could rearrange!



More recently: interesting decoupling limits







<u>Setting common-sense standards for</u> <u>string phenomenology</u>

(not meant as criticism of existing work: one does what one can)

- If we make numerical claims even for a given model (let alone "string theory predicts..."), what is the range of validity? $q < 0.1, \ldots$
- Similarly, what is the numerical precision?

$$n_{\rm s}=0.96\pm0.02$$

<u>What is the "added value"</u> <u>of string phenomenology?</u> (compared to standard MSSM phenomenology)

Depends!

- Heterotic: $M_{\rm string} \sim M_{\rm Planck}$ $10^{18} \,{\rm GeV}$
- Large extra dimensions: $M_{\rm string} \sim {\rm TeV}$ $10^3 {\rm GeV}$

• What about intermediate string scale? e.g. 10^{11} GeV e.g. Benakli '98, Burgess, Ibanez, Quevedo '98

<u>What is the "added value"</u> <u>of string phenomenology?</u> (compared to standard MSSM phenomenology)

Intermediate (or high, but let's focus...) string scale $M_{\rm string} \sim ~10^{11} {
m GeV}$

string theory gives some effective field theory... but if that's it, so what?

Example of added value: moduli stabilization



Example of added value: moduli stabilization



Example of added value: moduli stabilization







"the absence of an assumption" relative to IIB with no fluxes, no branes









KKLT: external space deSitter









KKLT D=4, N=1 effective theory

closed string moduli potential:

$$V = (\text{terms that vanish as } W_{np} \to 0) + e^{K} (G^{\bar{j}i} K_{\bar{j}} K_{i} - 3) |W|^{2}$$

for tree-level *K* from previous slide,

$$G^{\bar{j}i}K_{\bar{j}}K_i = 3 \qquad \Rightarrow \qquad V(T) = 0$$

"no-scale structure"
at supergravity tree-level


KKLT D=4, N=1 effective theory

closed string moduli potential : $(\tau_i = \operatorname{Re} T_i)$

$$\frac{V}{e^K} = e^{-a\tau} \left(4|A|^2 a\tau e^{-a\tau} (\frac{1}{3}a\tau + 1) - 4a\tau |A||W_0| \right)$$

for tree-level *K* from before ($G^{\bar{\jmath}i}K_{\bar{\jmath}}K_i = 3$)

in KKLT, no-scale structure broken by nonperturbative superpotential



closed string moduli potential : $(\tau_i = \operatorname{Re} T_i)$

 $\frac{V}{e^K} = e^{-a\tau} \left(4|A|^2 \rho\right)$

for tree-level K fro



in KKLT, no-scale structure broken by nonperturbative superpotential

Some drawbacks with original KKLT

closed string moduli potential : $(\tau_i = \operatorname{Re} T_i)$ $\frac{V}{e^K} = e^{-a\tau} \left(4|A|^2 a\tau e^{-a\tau} (\frac{1}{3}a\tau + 1) - 4a\tau |A||W_0| \right)$

- only works for limited range of a, W_0, A
- volume slightly above string scale (no "problem", but see later)
- supersymmetry breaking "at the end" (least understood part)
- "two-step stabilization" (S, U, then T) sometimes fails (not algorithmic)

$$\underline{A \text{ first look at corrections: } f}$$

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$$\underline{C}_{\text{eff}} = \frac{1}{2\kappa^2} R - K_{\phi_i \bar{\phi}_{\bar{j}}} \partial_\mu \phi^i \partial^\mu \bar{\phi}^{\bar{j}} - \frac{1}{g^2(\phi)} \text{tr}_a F^2$$

$$- V(\phi) + \text{ correct ions}$$

$$K_{\phi_i \bar{\phi}_{\bar{j}}} = \partial_{\phi^i} \partial_{\bar{\phi}^{\bar{j}}} K \quad \text{and} \quad \frac{1}{g^2(\phi)} = \text{Re} f(\phi)$$



$$K_{\phi_i \bar{\phi}_{\bar{j}}} = \partial_{\phi^i} \partial_{\bar{\phi}^{\bar{j}}} K$$
 and $\frac{1}{g^2(\phi)} = \operatorname{Re} f(\phi)$









Moral: these are string loop corrections, but without them, W_{np} doesn't depend on D3-brane scalar ϕ at all. So they are not "negligible" in any real sense.



Moral: these are string loop corrections, but without them, W_{np} doesn't depend on D3-brane scalar ϕ at all. So th "negligible" in any real 5 cf. brane inflation



These were "not completely twisted" strings. For branes at right angles, that is the *only f* correction that gives moduli dependence.







A second look at corrections: K

To make it more concrete, let's consider a scenario where corrections to the Kähler potential is supposed to make a difference

$$K_{\phi_i\bar{\phi}_{\bar{j}}} = \partial_{\phi^i}\partial_{\bar{\phi}^{\bar{j}}}K \quad \text{and} \quad \frac{1}{g^2(\phi)} = \underset{\text{did before}}{\operatorname{Re}f(\phi)}$$

The Large Volume Scenario (LVS)

Balasubramanian, Berglund, Conlon, Quevedo '05



The Large Volume Scenario (LVS)

Balasubramanian, Berglund, Conlon, Quevedo '05

Truncation problem: it typically makes no sense to attempt to "improve" any leading-order string model by string/quantum corrections

LVS is one case where this intuition may fail (under investigation!)

Volume of
special "Swiss
cheese"
Calabi-Yau

$$\begin{cases} T_i \} \rightarrow \{T_b\}, \{T_s\} \\ \rightarrow \mathcal{V} = \tau_b^{3/2} - f(\tau_s) \\ K = K_{\text{KKLT}} + \xi \frac{S_1^{3/2}}{\mathcal{V}} \qquad (\tau_i = \text{Re} T_i) \\ \leftarrow \alpha' \\ W = W_{\text{KKLT}} \qquad (\text{higher derivative}) \\ \text{correction} \\ \text{Becker, Becker, Hack , Louis '02} \end{cases}$$

LVS moduli stabilization

change variables $(\tau_b, \tau_s) \rightarrow (\mathcal{V}, \tau_s)$ variables $(\tau_{\rm b}, \tau_{\rm s}) \rightarrow (\mathcal{V}, \tau_{\rm s})$ $X = Ae^{-a\tau_{\rm s}}$ $V = (\dots)\frac{X^2}{\mathcal{V}} + (\dots)\frac{X}{\mathcal{V}^2} + (\dots)\frac{\xi}{\mathcal{V}^3}$ $\frac{\partial V}{\partial \mathcal{V}} = 0 \qquad \Rightarrow \qquad \mathcal{V} = \frac{f(\tau_{\rm s})}{X}$ $(\tau_i = \operatorname{Re} T_i)$ $\frac{\partial V}{\partial \tau_{\rm s}} = 0 \qquad \Rightarrow \qquad X = \frac{g(\tau_{\rm s})}{\mathcal{V}}$ $\Rightarrow f(\tau_{\rm s}) = g(\tau_{\rm s})$ $\stackrel{a\tau_{\rm s}\gg1}{\Longrightarrow} \quad \tau_{\rm s}\sim \xi^{2/3}$ dial: $\mathcal{V} \sim 10^{15} \ell_{\rm s}^6$ $\Rightarrow \quad \mathcal{V} \sim e^{a\tau_{\rm s}}$

Why $\mathcal{V} \sim 10^{15} \ell_{\rm s}^6$?

Conlon, Quevedo, Suruliz '05

- 1. Why is big good?
 - α' (inverse volume) expansion under control
 - "two-step" integrating out becomes algorithmic
 - matter fields: $K(\phi, \bar{\phi}) \sim \mathcal{V}^p k(\phi, \bar{\phi})$
 - soft supersymmetry breaking terms: simplifications



Sample soft terms: gaugino masses

Conlon, Abdussalam, Quevedo, Suruliz '06

Assume MSSM

 $M_{a} = \frac{1}{2 \operatorname{Re} f_{a}} \sum_{I} F^{I} \partial_{I} f_{a}$ $F^{\tau_{s}} = e^{K/2} (G^{\bar{s}s} \partial_{\bar{s}} \bar{W} + (G^{\bar{s}s} K_{\bar{s}} + G^{\bar{b}s} K_{\bar{b}}) \bar{W})$ $= 2\tau_{s} e^{K/2} \bar{W}_{0} \left(\left(1 - \frac{3}{4a\tau_{s}} \right) - 1 + \ldots \right)$ $|M_{s}| \sim \frac{m_{3/2}}{\ln(M_{P}/m_{3/2})} \left(1 + \frac{(\ldots)}{\ln(M_{P}/m_{3/2})} + \ldots \right)$

Gaugino masses suppressed by factor of 30 compared to gravitino mass

Consistency conditions for LVS

M.B., Haack, Pajer '07 + newer Cicoli, Conlon, Quevedo '08 (May)

$$\Delta K_{\alpha'} : \Delta K_{g_{\rm s}} \quad \sim \quad \alpha'^3 : g_s^2 \alpha'^2$$

dimensional analysis:

$$\begin{array}{lll} \Delta K_{\alpha'} & \sim & g_{\rm s}^{-3/2} \mathcal{V}^{-1} \\ \Delta K_{g_{\rm s}} & \sim & g_{\rm s} \mathcal{V}^{-2/3} \end{array}$$

cancellation (shown in detail in paper):

$$\Delta V_{\alpha'} \sim g_{\rm s}^{-1/2} \mathcal{V}^{-3}$$
$$\Delta V_{g_{\rm s}} \sim g_{\rm s} \mathcal{V}^{-3}$$

should consider D-brane corrections in LVS!

Problem: D-Brane corrections to Kähler potential not known for general Calabi-Yau orientifolds, much less with fluxes and warping.

Proposed solution: Estimate at least scaling behavior from known corrections in simpler models: N = 1 toroidal IIB orientifolds with arbitrary D3 and D7 brane positions.

Problem: in early 2005, those were not known either.

M.B., Haack, Körs, '05

Consider Type IIB N=2 or 1 toroidal orientifolds with D3- and D7-branes Ex: $T^2 \rightarrow T^4 / T$

 $\mathbb{T}^2 \times \mathbb{T}^4 / \mathbb{Z}_2$ $\mathbb{T}^6 / (\mathbb{Z}_2 \times \mathbb{Z}_2)$ $\mathbb{T}^6 / \mathbb{Z}_6'$

tree-level Kähler metric for brane scalars?

M.B., Haack, Körs, '05







M.B., Haack, Körs, '05

"integrate" one-loop corrected Kähler metric to get oneloop corrected Kähler potential:

$$K = -\ln\left((S+\bar{S})(T+\bar{T})(U+\bar{U})\right)$$

$$-\ln\left(1 - \frac{1}{8\pi}\sum_{i}\frac{N_{i}(\phi_{i}+\bar{\phi}_{i})^{2}}{(T+\bar{T})(U+\bar{U})} - \frac{1}{128\pi^{6}}\sum_{i}\frac{\mathcal{E}_{2}(\phi_{i},U)}{(S+\bar{S})(T+\bar{T})}\right)$$

$$E_{2}(\phi,U) = \sum_{(n,m)=(0,0)}\frac{\operatorname{Re}(U)^{2}}{|n+mU|^{4}}\exp\left(2\pi i\frac{\phi(n+m\bar{U})+\bar{\phi}(n+mU)}{U+\bar{U}}\right)$$

<u>Aside: "Prepotential puzzle"</u>

M.B., Haack, Körs, '05

we "integrated"
$$K_{T\bar{T}} \to K$$

Q: what about "integrability conditions" due to other
Kähler metric components, like $K_{\phi\bar{\phi}}$?
 $K = -\ln\left((S+\bar{S})(T+\bar{T})(U+\bar{U})\right)$
 $-\ln\left(1 - \frac{1}{8\pi}\sum_{i}\frac{N_{i}(\phi_{i} + \bar{\phi}_{i})^{2}}{(T+\bar{T})(U+\bar{U})} - \frac{1}{128\pi^{6}}\sum_{i}\frac{\mathcal{E}_{2}(\phi_{i},U)}{(S+\bar{S})(T+\bar{T})}\right)$
compare earlier results:
e.g. for $N = 2$ case, gauge coupling correction $\sim \ln |\vartheta_{1}(\phi, U)|^{2}$
is related to $K_{\phi\bar{\phi}}$: both come from prepotential
 $\Delta \frac{1}{g_{YM}^{2}} \sim \ln |\vartheta_{1}(\phi, U)|^{2} + \dots$

M.B., Haack, Körs, '05

$$K = -\ln\left((S+\bar{S})(T+\bar{T})(U+\bar{U})\right) -\ln\left(1-\frac{1}{8\pi}\sum_{i}\frac{N_{i}(\phi_{i}+\bar{\phi}_{i})^{2}}{(T+\bar{T})(U+\bar{U})} - \frac{1}{128\pi^{6}}\sum_{i}\frac{\mathcal{E}_{2}(\phi_{i},U)}{(S+\bar{S})(T+\bar{T})}\right)$$

so concrete question is: are we going to get something $\sim \ln |\vartheta_1(\phi, U)|^2$ if we differentiate $\partial_{\phi} \partial_{\bar{\phi}}$?

$$\underline{\text{Aside: "Prepotential puzzle"}_{\text{Benakli, Goodsell '08 (May 13)}}}$$

$$K = -\ln\left((S+\bar{S})(T+\bar{T})(U+\bar{U})\right)$$

$$-\ln\left(1-\frac{1}{8\pi}\sum_{i}\frac{N_{i}(\phi_{i}+\bar{\phi}_{i})^{2}}{(T+\bar{T})(U+\bar{U})}-\frac{1}{128\pi^{6}}\sum_{i}\frac{\mathcal{E}_{2}(\phi_{i},U)}{(S+\bar{S})(T+\bar{T})}\right)$$

Saved by identity:

$$\partial_{\phi}\partial_{\bar{\phi}}E_2(\phi,U) = -\frac{2\pi^2}{U+\bar{U}}E_1(\phi,U)$$

 $E_1(\phi, U) \sim \ln |\vartheta_1(\phi, U)|^2 + \dots$

String Corrections to K M.B., H

M.B., Haack, Körs, '05

$$K = -\ln\left((S+\bar{S})(T+\bar{T})(U+\bar{U})\right) -\ln\left(1-\frac{1}{8\pi}\sum_{i}\frac{N_{i}(\phi_{i}+\bar{\phi}_{i})^{2}}{(T+\bar{T})(U+\bar{U})} - \frac{1}{128\pi^{6}}\sum_{i}\frac{\mathcal{E}_{2}(\phi_{i},U)}{(S+\bar{S})(T+\bar{T})}\right)$$

Saved by identity: $\partial_{\phi}\partial_{\bar{\phi}}E_2(\phi, U) = -\frac{2\pi^2}{U+\bar{U}}E_1(\phi, U)$ $E_1(\phi, U) \sim \ln |\vartheta_1(\phi, U)|^2 + \dots$

Above *K* consistent with all Kähler metric corrections



<u>D-brane corrections in flux</u> <u>compactifications?</u>

As we saw before: gauge threshold (loop) corrections?

$$f_{D7} = T + f^{(1)}(\phi, U) \implies W_{np} = \underbrace{e^{-af^{(1)}(\phi, U)}}_{A(\phi, U)} e^{-aT}$$

$$Originally: constant$$

<u>D-brane corrections in flux</u> <u>compactifications?</u>

Dixon, Kaplunovsky, Louis '91

M.B., Haack, Körs '04

But $E_1(\phi, U) \sim \ln |\vartheta_1(\phi, U)|^2 + \dots$

eigenfunction of Laplacian on torus transverse to D7-branes – what is going on?

<u>D-brane corrections in flux</u> <u>compactifications?</u>



closed string exchange?
D-brane corrections in flux compactifications?

M.B., Haack, Körs '04 Giddings, Maharana '05

Baumann, Dymarsky, Klebanov, Maldacena, McAllister, Murugan '06 Forcella, Garcia-Extebarrieta, Uranga '08 (June)

calculate D-brane loop corrections by supergravity? gauge coupling corrections ~ eigenfunction of Laplacian – claim that this works by **open/closed duality**

• generalize to warped deformed conifold (!) with general holomorphic D7-brane embedding specified by integers p_i

$$A = A_0 \left(\frac{\mu^P - \prod_{i=1}^4 w_i^{p_i}}{\mu^P}\right)^{1/N_{\text{D7}}} \qquad P = \sum_{i=1}^4 p_i$$

e.g. Kuperstein embedding

D-brane corrections in flux compactifications?

M.B., Haack, Körs '04 Giddings, Maharana '05 Baumann, Dymarsky, Klebanov, Maldacena, McAllister, Murugan '06 Forcella, Garcia-Extebarrieta, Uranga '08 (June)

• generalize to warped deformed conifold (!) with general holomorphic D7-brane embedding specified by integers p_i

much work left to do!

Summary

- Variants of KKLT, like LVS, can be surprisingly controllable
- Checks must be performed whole classes can disappear
- Existing results, if correct, already give generic statements about effective action that seem interesting for phenomenology
- With more details, would be more interesting...
- Development about loop corrections in very general backgrounds interesting in its own right

Work in progress

- More checks of "Green's function method" (closed string alternative calculation)
- Stieberger-Taylor formula generalized to "completely twisted strings", which give additional moduli dependence for branes at angles

$$\int \prod_{i=1}^{N} d^2 z_i G^{\mathrm{F}}_{\vec{\alpha}}(z_{12}) \cdots G^{\mathrm{F}}_{\vec{\alpha}}(z_{N1}) = -\frac{(2\tau_2)^N}{(N-1)!} \frac{\partial^N}{\partial z^N} \ln \vartheta_{\vec{\alpha}}(0,\tau)$$

fermion propagator